

This Page Is Inserted by IFW Operations  
and is not a part of the Official Record

## **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning documents *will not* correct images,  
please do not report the images to the  
Image Problem Mailbox.**



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/660,588	09/12/2003	1752	2004	14432DIV	45	71	7

000293  
DOWELL & DOWELL PC  
SUITE 309  
1215 JEFFERSON DAVIS HIGHWAY  
ARLINGTON, VA 22202

RECEIVED  
DEC 9 2003

BY: \_\_\_\_\_

CONFIRMATION NO. 7866

## FILING RECEIPT



\*OC000000011439949\*

Date Mailed: 12/08/2003

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Geoffrey Alan Ozin, Toronto, CANADA;  
San Ming Yang, Toronto, CANADA;  
Hernan Miguez, Toronto, CANADA;

Request for correction:  
ATTACHED: front page of Declaration  
PAGE 2 of Preliminary Amendment

## Domestic Priority data as claimed by applicant

This application is a DIV of 09/997,254 11/27/2001  
which claims benefit of 60/253,081 11/28/2000  
and claims benefit of 60/296,119 06/07/2001

date should be 10-16-2001

## Foreign Applications

If Required, Foreign Filing License Granted: 12/08/2003

Projected Publication Date: 03/18/2004

Non-Publication Request: No

Early Publication Request: No

Also claims benefit of  
60/240,055 10-16-2000

Titl

**DECLARATION AND POWER OF  
ATTORNEY  
PATENT APPLICATION****ATTORNEY'S DOCKET NO. 13786**

As below named inventor, I hereby declare that:

My residence, post office address and citizenship is as stated below next to my name.  
I verily believe I am the original, first and sole or joint inventor (if plural, inventors are named below) of the invention entitled:**METHOD OF SELF-ASSEMBLY AND OPTICAL APPLICATIONS OF CRYSTALLINE  
COLLOIDAL PATTERNS ON SUBSTRATES**

the specifications and drawings of which

(check one)

☐ Is attached hereto.☒ was filed on 10/16/2001 asApplication Serial No. 09/977,254

was amended on

DATE

I hereby state that I have reviewed and understood the contents of the above identified specification and drawings, including the claims. (if applicable)

I acknowledge the duty to disclose information which is known to be material to the examination of this application to the Patent Office in accordance with Title 37, Code of Federal Regulations, § 1.56.

I hereby state that I do not know and do not believe that the invention which is the content of the above specification, claims and drawings was ever known or used in the United States of America before my invention thereof, or the patented or described in any printed publication in any country before my invention thereof or more than one (1) year prior to this application, that the same was not in public use or on sale in the United States of America more than one (1) year prior to this application, that the invention has not been patented or made the subject of the inventor's certificate issued before the date of this application in any country foreign to the United States of America on an application filed by me or my legal representatives or assigns more than twelve (12) months prior to this application; and as to applications for patents or inventor's certificate on the invention filed in any country foreign to the United States of America prior to this application by me or my legal representative or assigns.

☐ n such applications have been filed, or☒ such applications have been filed as follows:

EARLIEST FOREIGN APPLICATION(S), IF ANY, FILED WITHIN 12 MONTHS PRIOR TO THIS APPLICATION				
COUNTRY CLAIMED	APPLICATION NO.	DATE OF FILING (DAY, MO., YR.)	DATE OF ISSUE (DAY, MO., YR.)	PRIORITY UNDER 35 USC 119
				YES <input type="checkbox"/> NO <input type="checkbox"/>
ALL FOREIGN APPLICATIONS, IF ANY, FILED MORE THAN 12 MONTHS PRIOR TO THIS APPLICATION				
PROVISIONAL APPLICATION(S), IF ANY, FILED WITHIN 12 MONTHS OF THIS APPLICATION				
COUNTRY CLAIMED	APPLICATION NO.	DATE OF FILING (DAY, MO., YR.)	DATE OF ISSUE (DAY, MO., YR.)	PRIORITY UNDER 35 USC 120
US	60/240,055	10/16/2000		YES <input checked="" type="checkbox"/> NO <input type="checkbox"/>
US	60/253,081	11/28/2000		YES <input checked="" type="checkbox"/> NO <input type="checkbox"/>
US	60/296,119	06/07/2000		YES <input checked="" type="checkbox"/> NO <input type="checkbox"/>